FORM PTO-1390 (REV. 10-2003) U.S. DEPARTM COMMERCE PATENT AND TRADEMARK OFFICE 'S DOCKET NUMBER 3241-99 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) NOTINETIKNOWN CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE03/000054 10/JANUARY/2003 15/JANUARY/2002 TITLE OF INVENTION FILLING DEVICE COMPRISING LATERAL FILLING WINDOWS FOR A WRITING INSTRUMENT APPLICANT(S) FOR DO/EO/US Siegbert VIAL/Georg KEIL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. 🗹 Other items or information: Cert/Express Mail; Copy of WO 2003/059646A3 (German Text); Copy of WO 2003/059646A2 (German Text) Copies of PCT IPEA/416; PCT/ISA/210; PCT/IB/301,304/308/332; PCT Request/ Return Postcard.

U.S. APPLICATION NO. (if know)	U.S. APPLICATION NO. (IF 1909) 10 STATE INTERNATIONAL APPLICATION NO. PCT/DE2003/000054			ATTORNEY'S DOCKET NUMBER 3241-99	
21. The following fees are submitted:				CALCULATIONS	PTO USE ONLY
	FEE (37 CFR 1.492 (a)				
Neither internation nor international se and International S	al preliminary examinat arch fee (37 CFR 1.445) earch Report not prepare				
International prelin	ninary examination fee (ational Search Report pro				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	15 - 20 =	0	x \$18.00	\$	
Independent claims	$\begin{array}{ccc} 2 & -3 = \\ DENT CLAIM(S) & \text{(if ap)} \end{array}$	0 nlicable)	x \$86.00 + \$290.00	\$ 0.0	
MULTIPLE DEPEN		OF ABOVE CALCU		\$ 1,050.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 1,050.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1,050.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 1,050.00	
				Amount to be refunded:	\$
				charged:	\$ 1,050.00
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. Please charge my Deposit Account No. 04-1679 in the amount of \$ 1,050.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Customer No. 08933				fur Gubl	
	STEPHAN	P. GRIBOK		HAN P. GRIBOI	<
		IORRIS LLP	NAME	MAINT . GINDOI	<u>, </u>
		RTY PLACE HA, PA 19103	29,643		
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Docket No. 324	1-99		Tel. No.	. 215-979-1853	

DT04 Rec'd PCT/PT0 1 3 JUL 20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Siecber VIAL, ET AL.

International Appln. No. PCT/DE2003/000054

International Filing Date: 10/January/2003

U.S. Serial No. not yet known

Filed: herewith

For: FILLING DEVICE COMPRISING LATERAL FILLING WINDOWS FOR A

WRITING INSTRUMENT

CERTIFICATE OF EXPRESS MAIL

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that this document, namely the above-identified complete U.S. National Phase Patent Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and are addressed to MAIL STOP PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

"Express Mail" mailing label number: EV434014415US.

Date of Deposit: JULY 13, 2003.

Respectfully submitted,

Date: July 13, 2004

Stephan P. Gribok

Registration No. 29,643

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215-979-1282

Docket No. 3241-99